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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Bermudes et al.

Application No.: 09/645,415

Group Art Unit: 1614

Filed: August 24, 2000

Examiner: To be assigned

For: COMPOSITIONS AND METHODS FOR TUMOR-TARGETED DELIVERY OF EFFECTOR MOLECULES Attorney Docket No.: 8002-059

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.56 AND 1.97

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure imposed by 37 C.F.R. §§ 1.56 and 1.97 to inform the Patent Office of all references coming to the attention of each individual associated with the filing or prosecution of the subject application, which are or may be material to the patentability of any claim of the application, Attorneys for Applicants hereby invite the Examiner's attention to the references AA-EZ listed on the attached revised form PTO 1449 entitled "List of References Cited by Applicant." Copies of references AA-EZ are submitted herewith.

Identification of the listed references is not meant to be construed as an admission of Applicants or Attorneys for Applicants that such references are available as "prior art" against the subject application. Consequently, Applicants respectfully decline to use form PTO-1449, since this form identifies all of the references cited therein as "Prior Art." As an alternative, Applicants submit herewith several pages of a "revised form PTO 1449" entitled "List of References Cited" instead of "List of Prior Art Cited".

Applicants respectfully request that the Examiner review the foregoing references and that the references be made of record in the file history of the application.

Pursuant to 37 C.F.R. § 1.97(b), since it is believed that this information disclosure statement is being filed before the mailing date of a first Office Action on the merits, no fee is due in connection herewith. However, should the Patent Office determine

otherwise, please charge the required fee to Pennie & Edmonds LLP deposit account
no. 16-1150. A duplicate copy is enclosed for accounting purposes.

Respectfully submitted,

Date August 24, 2001

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Sheet 1 of 5

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LIST OF REFERENCES CITED BY APPLICANT

(Use several sheets if necessary)

ATTY. DOCKET NO.

8002-059-999

APPLICATION NO.

09/645,415

APPLICANT

Bermudes et al.

FILING DATE

August 24, 2000

GROUP

1614

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	4,436,727	3/13/84	Ribi			
	AB	5,021,234	6/4/91	Ehrenfeld			
	AC	5,344,762	9/6/94	Karapetian			
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	EM	09/645,418		Bermudes et al.			8/24/00
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							YES	NO
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	AG	WO 9211361	7/9/92	PCT				
	AH	WO 9502048	1/19/95	PCT				
	AI	WO 9611277	4/18/96	PCT				
	AJ	WO 9640238	12/19/96	PCT				
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	AL	WO 9719688	6/5/97	PCT				
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	EN	WO 9634631	11/7/96	PCT				
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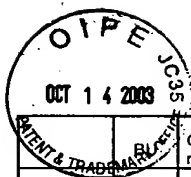
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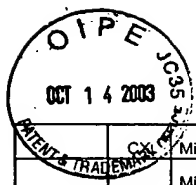
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	CC	Jones et al., 1992, "Invasion by <i>Salmonella typhimurium</i> is Affected by the Direction of Flagellar Rotation", <i>Infect. Immun.</i> 60:2475-2480.
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EXAMINER	DATE CONSIDERED
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